

This AC section change is approved for inclusion with AC 27-1B and will later be incorporated into the next published change or revision to AC 27-1B.

Due to the significant changes of this guidance material, no change markings are provided. Review this section in its entirety as a replacement MG section to AC 27-1B, AC 27 MG 18.

**CHAPTER 3
AIRWORTHINESS STANDARDS
NORMAL CATEGORY ROTORCRAFT**

MISCELLANEOUS GUIDANCE (MG)

AC 27 MG 18. HELICOPTER TERRAIN AWARENESS AND WARNING SYSTEM (HTAWS).

a. Background.

(1) HTAWS is a computer-based system that provides the flight crew with alerts (both aural and visual) of pending collision of the rotorcraft with the terrain, considering such items as crew recognition and reaction times. HTAWS evolved from earlier rotorcraft alerting systems to support specific helicopter operational requirements.

(2) HTAWS takes inputs from a horizontal position source, vertical position source, terrain database, and an obstacle database to provide enhanced terrain and obstacle awareness. The intended function of HTAWS is an alerting system, which presents terrain and obstacle aural and visual alerts within a chosen flight/alert envelope. Guidance for rotorcraft specific requirements and system performance is found in Technical Standard Order (TSO)-C194, Helicopter Terrain Awareness and Warning System (HTAWS). TSO-C194 was developed to support rotorcraft specific operational requirements and prescribes the minimum performance standards that a HTAWS must meet for approval and identification with the applicable TSO label.

Note: The issuance of a technical standard order authorization (TSOA) against TSO-C194 (or further amendments) does not constitute an installation approval.

(3) HTAWS is required for operations under 14 CFR part 135 subpart L, Helicopter Air Ambulance Equipment, Operation, and Training Requirements; § 135.605, Helicopter Terrain Awareness and Warning System (HTAWS).

b. Purpose.

(1) This guidance sets forth a method of compliance with the requirements of 14 CFR part 27 pertaining to installations of HTAWS equipment. It is for guidance purposes and provides an acceptable method of compliance. This guidance covers the safety assessment, types of environmental testing that should be considered for such

installations, and identifies other installation considerations. The guidance does not change regulatory requirements and does not authorize changes in, or deviations from, regulatory requirements. The applicant may elect to follow an alternate method provided the FAA also finds the alternate method acceptable. It describes the airworthiness considerations for such installations as they apply to the unique features of the HTAWS and the interfaces with other systems on the helicopter. The HTAWS certification should address the complete certification process. There are five basic aspects for certification of HTAWS installations that are discussed throughout this document: equipment qualification, installation, system performance validation, testing considerations, and instructions for continued airworthiness (ICA).

(2) AC 27-1 provides general guidance for certification and compliance of systems and equipment installation on part 27 rotorcraft. TSO-C194 specifies HTAWS equipment requirements and prescribes, by reference to RTCA specification DO-309, the minimum performance standards that a HTAWS must meet for approval. RTCA DO-309 defines specific Minimum Operational Performance Standards (MOPS) for HTAWS equipment. Compliance with RTCA DO-309 provides a method of compliance for qualification of HTAWS equipment. A method of compliance other than described in this AC may be used provided it is determined to be acceptable to the Administrator.

(3) HTAWS required by operational regulation must comply with TSO-C194 and should be installed in accordance with this AC or other methods acceptable to the Administrator. Terrain and obstacle warning systems that do not comply with TSO-C194 and are not installed according to this AC or other method acceptable to the Administrator may be installed as non-required equipment but may not be identified as HTAWS. The certification data, including the rotorcraft flight manual supplement (RFMS) and ICA, must state that the installed system does not comply with any operational regulation that requires HTAWS, and may require a placard.

c. Related Regulations and Documents.

(1) Regulation Sections:

Section	Title
§ 27.1301	Function and installation.
§ 27.1303	Flight and navigation instruments.
§ 27.1309	Equipment, systems, and installations.
§ 27.1316	Electrical and electronic system lightning protection.
§ 27.1317	High-intensity Radiated Field (HIRF) Protection.
§ 27.1321	Arrangement and visibility.
§ 27.1322	Warning, caution, and advisory lights.
§ 27.1351	General. [Electrical Systems and Equipment]
§ 27.1357	Circuit protective devices.
§ 27.1381	Instrument lights.
§ 27.1459	Flight data recorders.

Section	Title
§ 27.1529	Instructions for Continued Airworthiness.
§ 27.1541	General. [Markings and Placards]
§ 27.1581	General. [Rotorcraft Flight Manual and Approved Manual Material]
§ 27.1585	Operating procedures.
Part 91	General Operating and Flight Rules.
Part 135	Operating Requirements: Commuter and On Demand Operations and Rules Governing Persons on Board Such Aircraft.

(2) ACs, Orders, and TSOs:

Publication	Title
AC 20-115	RTCA, Inc. Document RTCA/DO-178B, Software Considerations in Airborne Systems and Equipment Certification.
AC 20-136	Protection of Aircraft Electrical/Electronic Systems against the Indirect Effects of Lightning.
AC 20-152	RTCA, Inc. Document RTCA/DO-254, Design Assurance Guidance for Airborne Electronic Hardware.
AC 20-153	Acceptance of Aeronautical Data Processes and Associated Databases.
AC 20-158	The Certification of aircraft Electrical and Electronic Systems for Operation in the High-Intensity Radiated Fields (HIRF) Environment.
AC 20-174	Development of Civil Aircraft and Systems
AC 21-16	RTCA Document DO-160 versions D, E, F, and G, Environmental Conditions and Test Procedures for Airborne Equipment.
AC 21-40	Guide for Obtaining a Supplemental Type Certificate.
AC 27-1	Certification of Normal Category Rotorcraft.
Order 8110.4	Type Certification.
Order 8110.49	Software Approval Guidelines.
Order 8110.54	Instructions for Continued Airworthiness Responsibilities, Requirements, and Contents.
Order 8110.105	Simple and Complex Electronic Hardware Approval Guidance.
TSO-C92c	Ground Proximity Warning/Glide slope Deviation Alerting Equipment.
TSO-C194	Helicopter Terrain Awareness and Warning System.

(3) Industry documents.

(i) RTCA documents listed below are available from RTCA, Inc., 1140 Connecticut Avenue N.W., Suite 1020, Washington, D.C. 20036-4001.

Publication	Title
DO-160	Environmental Conditions and Test Procedures for Airborne Equipment.
DO-161A	Minimum Performance Standards - Airborne Ground Proximity Warning Equipment.
DO-178B	Software Considerations in Airborne System and Equipment Certification.
DO-254	Design Assurance Guidance for Airborne Electronic Hardware.
DO-200A	Standards for Processing Aeronautical Data.
DO-309	Minimum Operational Performance Standard (MOPS) for Helicopter Terrain Awareness and Warning System (HTAWS) Airborne Equipment.

(ii) The Society of Automotive Engineers (SAE) Aerospace Recommended Practices documents listed below are available from SAE Customer Service, 400 Commonwealth Drive, Warrendale, PA 15096-001.

Publication	Title
SAE ARP 4754A	Certification Considerations for Highly Integrated or Complex Aircraft Systems.
SAE ARP 4761	Guidelines and Methods for Conducting the System Safety Assessment Process on Civil Airborne Systems and Equipment.

d. Definitions.

(1) Alert: A visual or aural stimulus presented either to attract attention or to convey information regarding system status or condition, or both.

(2) Aural Alert: A verbal statement used to annunciate a condition, situation, or event.

(3) Caution Alert: An alert requiring flight crew awareness. Subsequent corrective action will normally be necessary.

(4) Failure: The inability of the equipment or any subpart of that equipment to perform its intended function within previously specified limits.

(5) False Alert: A warning or caution that occurs when the designed terrain or obstacle warning or caution threshold of the system is not exceeded.

(6) Hazard: A state or set of conditions that, together with other conditions in the environment, could result in an adverse safety impact.

(7) Hazardously Misleading Information (HMI): An incorrect depiction of the terrain or obstacle threat relative to the rotorcraft during an alert condition (excluding source data). This means that the HTAWS alert information presented in the cockpit is in error relative to information contained in the terrain or obstacle database.

(8) HTAWS: A generic term used to describe an alerting system that provides the flight crew with sufficient information and time to detect potentially hazardous terrain or obstacle.

(9) Integrity: Attribute or reliability of a system or a component that can be relied upon to function at a level that is commensurate with the criticality determined by the functional hazard assessment (FHA).

(10) Maneuver: A change in the flight path of the aircraft initiated by the flight crew in response to an HTAWS alert to include climbs, descents (inappropriate for most situations), and turning procedures.

(11) Nuisance Alert: An alert that occurs when there is no threat or is unnecessary for the intended operation.

(12) Obstacle: A human-made structure that is in the flight path of the rotorcraft.

(13) Reduced Protection Mode: A reduced warning algorithm state that allows operation closer to terrain and obstacles with minimal alerts.

(14) Terrain and Obstacle Database: Terrain and obstacle information stored within an HTAWS.

(15) Unannounced Failure: A form of hazardous misleading information that is particular to warning systems, such as HTAWS.

(16) Visual Alert: The use of projected or displayed information to present a condition, situation, or event to the flight crew.

(17) Warning Alert: An alert for a detected terrain or obstacle threat that requires immediate flight crew attention and decision.

e. System Description.

(1) The HTAWS will assist rotorcraft pilots in maintaining awareness of their proximity to terrain and obstacle hazards. HTAWS takes inputs from a horizontal position source, vertical position source, terrain database, and an obstacle database. The HTAWS is typically designed to provide the following high level functions:

(i) visual information depicting terrain, relative location of terrain, and terrain avoidance alerts;

(ii) visual information depicting obstacles, relative location of obstacles, and obstacle avoidance alerts; and

(iii) aural terrain and obstacle avoidance alerts.

(2) Although TSO-C194 and RTCA DO-309 do not require a reduced protection mode, TSO applicants should consider providing a mode that will account for off-airfield operations that will still provide the pilot with essential alerts regarding terrain while minimizing nuisance alerts. Without a reduced protection or similar mode, nuisance alerts may lead to pilots ignoring or inhibiting the HTAWS at inappropriate times.

(i) Reduced protection mode performance should be evaluated during the initial airworthiness certification.

(ii) Reduced protection mode should always provide an alert with sufficient time to avoid terrain or obstacles.

(3) Flight evaluations of systems have revealed that reduced protection or similar modes for terrain alerting functions are important in rotorcraft operations. Operations into off-airfield and unimproved landing zones usually trigger nuisance alerts if a reduced mode is not provided. These modes usually decrease the vertical and horizontal alerting envelope over terrain and obstacles thereby reducing time to collision alerts. TSO-C194 and RTCA DO-309 do not require a reduced protection mode. Applicants with systems that have a reduced protection mode with terrain and obstacle alerting envelopes different from those in the normal mode, should provide for sufficient alerting and clearance from terrain and obstacles when conducting visual meteorological conditions operations.

f. Airworthiness Considerations.

(1) The scope of the applicant's program should be directed toward airworthiness approval through the type certification (TC), amended TC, or supplemental type certificate (STC) processes. Installation of the HTAWS when integrated with other systems and equipment may result in a significant change under the changed product rule, 14 CFR 21.101. Installation of HTAWS in legacy aircraft may require meeting the current regulations that address installation of these newer technologies.

(2) The remainder of this document provides airworthiness considerations that applicants should consider as part of the certification process.

g. Certification Requirements. Compliance with RTCA DO-309, along with the following certification guidance material and clarifications, is an acceptable means, but not the only means, to secure FAA approval of HTAWS equipment qualification and installation.

(1) General. For initial approvals, the applicant should provide a detailed systems description and design features that can be verified by certification engineers and flight test pilots. Flight-testing should concentrate on the adequacy of the interface, basic functionality of the system, location and visibility of the display, adequacy of the visual and aural alerts, day and night lighting, ease of use, understanding of the terrain and obstacle display, and potential interference with other installed equipment. In general, each mode of operation of the system should be evaluated in flight. Obstacles are frequently treated as a single point object, but in reality, obstacles (particularly tall obstacles) may have significant length and width due to guy wires. Obstacle alerting functions need to ensure that alerts are provided at sufficient distances and times to prevent flight into guy wires.

(2) System Safety Assessment. The applicant should perform an FHA and system safety assessment to establish the HTAWS criticality and hazards associated with the proposed installation. The reliability level of the system must be commensurate with the assessed criticality, and compliance with this criticality level must be demonstrated during certification. These assessments should consider the probability of such failures as: unannounced failures, false caution or warning alerts due to undetected (or latent) failures, failure of the system to provide the required alerting functions due to undetected (or latent) failures, effects of HTAWS failures on other aircraft systems, nuisance alerts, etc.

(3) Installations of Required HTAWS. Rotorcraft that operate under regulations requiring HTAWS must conform to minimum design assurance levels (DAL) to meet operational reliability and functional requirements. The annunciated loss of all HTAWS functions is classified as a failure condition "minor." Failure of the HTAWS to provide accurate terrain and obstacle aural and visual alerts, on rotorcraft that operate under rules that require HTAWS, is classified as a failure condition "major" by the TSO-C194. The HTAWS installation must satisfy the following requirements:

(i) The probability of an annunciated failure that would lead to the loss of all HTAWS functions that are described in paragraph e. above must be less than or equal to 10^{-3} per flight hour.

(ii) The probability of the system to provide HMI to the HTAWS display due to undetected or latent failures must be less than or equal to 10^{-5} per flight hour.

(A) This may be a false caution or warning alert due to undetected or latent failures.

(B) This may be an unannounced failure of the system to provide the required alerting functions due to undetected or latent failures.

(iii) Failure of the installed HTAWS must not degrade the integrity of any essential or critical system installed in the rotorcraft with which the HTAWS interfaces.

(iv) Installed equipment must meet all requirements of TSO-194.

(4) Software and Airborne Electronic Hardware (AEH) Qualification. The software for the HTAWS should be developed in accordance with RTCA DO-178B, Software Considerations in Airborne Systems and Equipment Certification, or equivalent. Applicants from the European Union (EU) applying for FAA letter of design approval (LODA) through European Aviation Safety Agency (EASA) may use the European Organization for Civil Aviation Equipment (EUROCAE) document EUROCAE ED-12, Software Considerations in Airborne Systems and Equipment Certification, in lieu of RTCA DO-178. AEH should be developed in accordance with RTCA DO-254, Design Assurance Guidance for Airborne Electronic Hardware. Applicants from the EU applying for FAA LODA through EASA may use EUROCAE ED-80, Design Assurance Guidance for Airborne Electronic Hardware, in lieu of RTCA DO-254. The software and AEH DAL for HTAWS installed in helicopters should be commensurate with the following assigned failure condition classifications:

(i) All rotorcraft using HTAWS, whether or not required by regulation, must conform to the minimum DAL prescribed below to meet operational reliability and functional requirements. The loss of all HTAWS functions is classified as a failure condition "minor" by the TSO-C194. Failure of the HTAWS to provide correct terrain and obstacle aural and visual alerts is classified as a failure condition "major" by the TSO-C194. The minimum DAL are:

(A) The system software and AEH DAL for failures that lead to the loss of all HTAWS functions, described in paragraph e. above, must be level D.

(B) The system software and AEH DAL for failures that lead to HMI to the HTAWS display due to undetected or latent failures must be level C.

(i) This may be a false caution or warning alert due to undetected or latent failures.

(ii) This may be an unannounced failure of the system to provide the required alerting functions due to undetected or latent failures.

(5) Environmental Qualification. Since a TSO is not an installation approval, the HTAWS installation should be shown to be capable of operating in its expected airborne

environment. One method to show environmental qualification of equipment is set forth in RTCA DO-160. RTCA DO-160 provides a suite of tests from which tests appropriate for the expected environment are chosen. For example, the vibration test should be for the rotorcraft environment and anticipated installation location, such as cockpit or avionics bay. Similar decisions must be made for other tests, such as temperature and electromagnetic interference (EMI) susceptibility. If the TSO environmental considerations do not adequately represent the actual installation environment, the differences must be considered and evaluated, and a course of action must be taken to correct deficiencies. Procedures provided by AC 27-1, section 27.1309, associated with temperature testing, should be followed to determine whether the equipment design is appropriate for the specific installation environment.

(6) System Performance Validation. The applicant should demonstrate that the performance of the HTAWS, with regard to the position of the rotorcraft relative to the terrain or obstacle, is adequate to prevent hazardously misleading information. The integrity of the navigation source has a significant effect on acceptable performance of the system. The applicant should demonstrate that the performance of the HTAWS is suitable for each phase of flight (en route, terminal, approach, and low altitude mode) for which approval is sought. Flight evaluations are normally required to assess reduced protection modes, operation in the vicinity of airfields, operations into and out of unimproved landing zones and off-airfield operations (helipads or other destinations not coded into the HTAWS database as aerodrome or helipad). HTAWS status and mode configuration should be easily seen. Mode selection (e.g., inhibit, reduced protection) should be easily accomplished without undue concentration on the pilot's part. All visual indications should be readable in all lighting conditions. Refer to RTCA DO-309, paragraph 3.4, Test Procedures for Installed Equipment Performance, for more information.

h. Installation Considerations.

(1) Selecting a display where multiple functions are presented. In these cases, a means to select or de-select the display of terrain and obstacle information should be provided. However, the means to select or deselect the display should not void or alter terrain and obstacle aural alerts. Care should be exercised in selecting a multifunction implementation, to ensure that the display sharing is appropriate for the specific functions. The use of the HTAWS display should not unacceptably detract from the usability of required functions that share the display with HTAWS. Since the HTAWS display is not to be used for navigation, the use of the display should not impair the ability of the pilot to perform required navigation functions. An example of such impairment would be an installation that forces the pilot to choose between the HTAWS display and the needed navigation information in situations where both could be effectively used simultaneously and continuously (e.g., instrument approach in the vicinity of hazardous terrain and obstacles). If the timesharing of the display between HTAWS and other functions is deemed acceptable, the design should facilitate simple switching between the functions, with minimal time delays, so both functions are sufficiently accessible in realistic flight scenarios.

(2) Locate visual alerts in the pilot's primary field of view. HTAWS status and mode selection annunciation (i.e., inhibit, reduced protection mode, or other pilot selectable mode) should be as close to the pilot's primary field of view as possible to enable rapid assessment of HTAWS status and configuration. The terrain and obstacle display should be installed in a location that provides monitoring by the pilot(s) for identification of potential flight path conflicts. The terrain and obstacle display should be in a location similar to other multifunction displays, such as electronic maps and weather radar.

(3) The installation should ensure that aural alerts are distinct and audible in all flight conditions.

(4) The certification plan should include tests and analyses to assure that the visual and aural alerts are consistent with the alerting configuration of the rotorcraft flight deck in which the HTAWS equipment is installed. This is particularly important with retrofit installations, which may use previously installed alerting annunciations. The plan should consider that visual alerts are:

- (i) located in pilots' primary field of view, and
- (ii) consistent with their associated voice or aural call out.

i. Ground Test Considerations.

(1) A ground test should be conducted for each HTAWS installation. The level of testing required will be determined by the scope of the installation (i.e., initial installation of a HTAWS model vs. a follow-on installation of a previously installed HTAWS model that was modified). Some items to consider for ground test should include:

- (i) location of HTAWS controls, displays, and annunciators;
- (ii) readability of HTAWS displays, annunciators, and alerts in all lighting conditions;
- (iii) evaluation of identified failure modes;
- (iv) evaluation of all HTAWS interfaces;
- (v) compatibility evaluation of HTAWS equipment lighting with previous night vision imaging system (NVIS) lighting modifications and night vision goggle (NVG) compatibility. Ensure the NVIS STC-approved data for the rotorcraft is updated to reflect the installation of any annunciators or displays related to the HTAWS; and
- (vi) EMI and electromagnetic compatibility (EMC) testing, and very high frequency (VHF) harmonic tests for HTAWS with internal or external GPS receivers.

(2) Evaluate on the ground all in-flight display characteristics and interfaces that are available during flight and that can be evaluated on the ground.

(3) Determine testing that can not be accomplished on the ground and that must be accomplished in flight.

j. Flight Test Considerations.

(1) The level of flight test required to validate a particular HTAWS installation will be based on the rotorcraft system architecture. Credit may be given for previously certificated installations, simulations, and ground tests. The requirement for a flight test needs to be evaluated for each installation. Initial installations and new sensor inputs will require flight tests. STC follow-on installations that introduce changes in flight deck configurations may require flight test. The evaluation of new sensor models or rotorcraft models may require flight tests, unless it can be shown through a sensitivity analysis that the new sensor's dynamic characteristics and the model rotorcraft are compatible with the current sensor parameters, and will not affect the performance of the HTAWS.

(2) Flight testing to verify the proper operation of the terrain and obstacle display should be conducted while verifying all the other required HTAWS functions. Terrain databases vary significantly in resolution, quality, and treatment of permanent features, such as forests, which may be significantly different in elevation from the underlying terrain. It is necessary to evaluate the operation of HTAWS over a variety of topological conditions to ensure that protection is provided.

(3) Specific flight test points should be flown to assess:

- (i) function performance in off-airfield operations,
- (ii) performance of alerting displays and audio in all flight and lighting conditions,
- (iii) performance of the reduced protection mode flown against obstacles and terrain, and
- (iv) evaluation of terrain scale, which:
 - (A) should be performed during the initial airworthiness certification of the HTAWS system;
 - (B) should not change based on selected mode of operation, and
 - (C) should have the capability of being displayed if selected by the pilot.

Note: Operations into off-airfield locations should have a minimum of nuisance alerts. Obstacle alerts should provide sufficient time to allow for pilot scan, identification, decision making, and action. Additionally, flight test experience has shown that reducing spatial envelopes around obstacles and the resulting warning times may lead to flight unnecessarily close to obstacles.

(4) The applicant should perform sustained standard rate turns, climbs, and descents to evaluate:

- Symbol stability.
- Flicker.
- Jitter.
- Display update rate.
- Color cohesiveness.
- Readability.
- The use of color to depict relative elevation data.
- Caution and warning alert area depictions.
 - Normal mode.
 - Reduced Protection mode if installed.
- Map masking.
- Overall suitability of the display.

(5) Perform compatibility evaluation of HTAWS equipment lighting with previous NVIS lighting modifications and NVG compatibility that could not be evaluated during ground test.

(6) Perform EMI and EMC testing, and VHF harmonic tests for HTAWS with internal GPS receivers that could not be evaluated during ground test.

k. Rotorcraft Flight Manual (RFM) or RFMS. The applicant should make an evaluation to determine if there are any limitations of the system and, if so, how they will affect rotorcraft operations. Any limitations affecting operations should be included in the RFM or RFMS. As a minimum, the applicant should provide instructions in the Limitations Section of the RFM or RFMS that include the following, as appropriate:

(1) Limitations. The following instructions should be included in the Limitations section of the RFM or RFMS:

(i) Navigation must not be predicated upon the use of the HTAWS information.

Note: The terrain and obstacle display is intended to serve as a terrain and obstacle awareness tool only. The display and database may not provide the accuracy or fidelity on which to base routine navigation decisions and plan routes to avoid terrain or obstacles.

(ii) The status of the inclusion of power lines in the obstacle database must be stated.

(iii) Reduced protection mode must not be selected when operating under IMC conditions except as required when performing offshore platform IFR approach procedures or other special IFR procedures.

(2) Operational Considerations for Normal and Abnormal Procedures. In addition to the HTAWS operational procedures, consider the following:

(i) Terrain or Obstacle Caution Alert. When this alert occurs, verify the rotorcraft flight path and correct it, if required.

(ii) Terrain or Obstacle Awareness Warning Alert. When this alert occurs, immediately initiate a maneuver that will provide maximum terrain or obstacle clearance, until all warning alerts cease.

(iii) Inhibit. For those installations that include the ability to inhibit all or some of the HTAWS audio alerts, the RFM (or RFMS) should address:

(A) When should the audio inhibit function be used?

(B) What alerts are inhibited?

(C) How long the alerts are inhibited?

(D) How to re-establish the alerts?

I. Instructions for Continued Airworthiness. ICAs are required by 14 CFR 27.1529, as appropriate and in accordance with part 27 Appendix A. In addition to Appendix A requirements, the applicant should indicate when and how the terrain and obstacle databases need to be updated.